

General information about company	
Scrip code	543299
NSE Symbol	SHYAMMETL
MSEI Symbol	NOTLISTED
ISIN	INE810G01011
Name of the entity	SHYAM METALICS AND ENERGY LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Yearly
Date of Report	31-03-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	MAHABIR PRASAD AGARWAL	ACWPA7573J	00235780	Non-Executive - Non Independent Director	Chairperson related to Promoter		05-04-1946
2	Mr	BRIJBHUSHAN AGARWAL	ACGPA0365A	01125056	Executive Director	Not Applicable	MD	13-09-1972
3	Mr	SANJAY KUMAR AGARWAL	ACVPA5953H	00232938	Executive Director	Not Applicable	MD	27-03-1976
4	Mr	DEEPAK AGARWAL	ADBPA4042Q	00560010	Executive Director	Not Applicable		06-01-1974
5	Mr	DEV KUMAR TIWARI	ADKPT0309E	02432511	Executive Director	Not Applicable		01-01-1971
6	Mr	KISHAN GOPAL BALDWA	ACWPB9194J	01122052	Non-Executive - Independent Director	Not Applicable		01-03-1952
7	Mr	YUDHVIR SINGH JAIN	AAOPJ4526C	06507365	Non-Executive - Independent Director	Not Applicable		08-08-1952
8	Ms	RAJNI MISHRA	BYGPM9035C	07706571	Non-Executive - Independent Director	Not Applicable		01-01-1987
9	Mr	NAND GOPAL KHAITAN	AFLPK3827K	00020588	Non-Executive - Independent Director	Not Applicable		21-05-1951
10	Mr	MALAY KUMAR DE	ADAPD3015F	00117655	Non-Executive - Independent Director	Not Applicable		22-09-1959
11	Mr	SHASHI KUMAR	AFSPK2839L	00116600	Non-Executive - Independent Director	Not Applicable		30-09-1946
12	Mr	SHEETIJ AGARWAL	AKDPA9469N	08212992	Executive Director	Not Applicable		14-09-1998

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active
11	No				Active
12	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Yes	19-02-2021	06-04-2018	06-04-2018			1	0	0	0			
2	NA		11-12-2002	01-10-2019			2	0	0	0			
3	NA		11-12-2002	01-04-2022			1	0	3	0			
4	NA		14-07-2014	14-07-2019			1	0	0	0			
5	NA		10-04-2009	01-04-2022			1	0	0	0			
6	NA		15-05-2018	15-05-2023		70.17	1	1	2	2			
7	NA		16-01-2018	16-01-2023		74.16	1	1	4	2			
8	NA		12-02-2021	12-02-2021		37.17	2	2	4	0			
9	Yes	05-05-2023	14-02-2023	14-02-2023		13.15	6	5	8	3			
10	NA		27-07-2023	27-07-2023		8.5	1	1	0	0			
11	Yes	21-09-2023	21-09-2023	21-09-2023		6.1	2	2	1	1			
12	NA		10-11-2023	10-11-2023			1	1	0	0			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-05-2018		
3	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		
4	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	27-07-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	14-02-2023		
2	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Member	15-05-2018		
3	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	27-07-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Chairperson	27-07-2023		
2	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		
3	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	27-07-2023		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-03-2022		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-03-2022		
3	00560010	DEEPAK AGARWAL	Executive Director	Member	15-03-2022		
4	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-03-2022		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Chairperson	15-05-2018		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-05-2018		
3	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	14-02-2023		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-11-2023				Yes	11	11	6
2		30-01-2024	80		Yes	12	10	6

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-11-2023				Yes	4	4	3	0
2	Audit Committee	30-01-2024	80			Yes	4	3	3	0
3	Stakeholders Relationship Committee	10-11-2023				Yes	3	2	2	0
4	Stakeholders Relationship Committee	30-01-2024	80			Yes	3	3	2	0
5	Nomination and remuneration committee	10-11-2023				Yes	3	3	2	0
6	Risk Management Committee	10-11-2023				Yes	4	4	2	0

Annexure 1										
IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Corporate Social Responsibility Committee	30-01-2024				Yes	3	3	1	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://www.shyammetalics.com/about-us/
2	Terms and conditions of appointment of independent directors	Yes		https://www.shyammetalics.com/investors/policies/
3	Composition of various committees of board of directors	Yes		https://www.shyammetalics.com/about-us/message-from-md/
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.shyammetalics.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Code-of-Conduct.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.shyammetalics.com/investors/policies/
6	Criteria of making payments to non-executive directors	Yes		https://www.shyammetalics.com/investors/policies/
7	Policy on dealing with related party transactions	Yes		https://www.shyammetalics.com/investors/policies/
8	Policy for determining 'material' subsidiaries	Yes		https://www.shyammetalics.com/investors/policies/
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.shyammetalics.com/investors/policies/
10	Email address for grievance redressal and other relevant details	Yes		https://www.shyammetalics.com/contact-us/
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.shyammetalics.com/investors/investor-relations-contact/
12	Financial results	Yes		https://www.shyammetalics.com/investors/financials/
13	Shareholding pattern	Yes		https://www.shyammetalics.com/investors/shareholding-pattern/
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
15.1	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://www.shyammetals.com/investors/investors-analyst-meet/
15.2	Audio or video recordings and transcripts of post earnings/quarterly calls	Yes		https://www.shyammetals.com/investors/investor-call-recording/
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		https://www.shyammetals.com/investors/newspaper-publication/
18	Credit rating or revision in credit rating obtained	Yes		https://www.shyammetals.com/investors/credit-rating/
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://www.shyammetals.com/investors/financials-of-subsidiaries-companies/
20	Secretarial Compliance Report	Yes		https://www.shyammetals.com/investors/other-compliances/
21	Materiality Policy as per Regulation 30 (4)	Yes		https://www.shyammetals.com/investors/policies/
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		https://www.shyammetals.com/investors/other-compliances/
23	Disclosures under regulation 30(8)	Yes		https://www.shyammetals.com/investors/policies/
24	Statements of deviation(s) or variations(s) as specified in regulation 32	NA		
25	Dividend Distribution policy as per Regulation 43A(1)	Yes		https://www.shyammetals.com/investors/policies/
26	Annual return as provided under section 92 of the Companies Act, 2013	Yes		https://www.shyammetals.com/investors/financial-annual-return/
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		https://www.shyammetals.com/
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		https://www.shyammetals.com/

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	
27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	Yes	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	Yes	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	Yes	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
47	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
48	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	Yes	
49	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	Textual Information(1)		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	15501215325	13050914949	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	Guarantee	0	250000000
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	NA	0	0
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	Deepak Agarwal		
Designation	CFO		
Place	Kolkata		
Date	20-04-2024		

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Birendra Kumar Jain
Designation of person	Company Secretary and Compliance Officer
Place	Kolkata
Date	20-04-2024

